JITENDRA PS SOLANKI ADVISORY

SEBI RIA- INA100000184

ANNUAL COMPLIANCE STATUS

AS PRESCRIBED BY SECURITIES AND EXCHANGE BOARD OF INDIA (SEBI), AND BSE ADMINISTRATION AND SUPERVISION LIMITED (BASL)

Disclosure with respect to compliance with Annual compliance audit requirement under Regulation 19(3) of Securities and Exchange Board of India (Investment Advisers) Regulations, 2013 for last and current financial year are as under:

Sr. No.	Financial Year	Compliance Audit	Status Remarks, if any
1	FY 2020-2021	Conducted	Audit Report dt. 29th
			November 2021
			submitted on 2 nd
			February 2022 to SEBI
			& BSEASL.
			Click to view
2	FY 2021-2022	Conducted	Audit Report dt. 28th
			September 2022
			submitted on 30 th
			September 2022 to
			BSEASL.
			Click to view
3	FY 2022-2023	Conducted	Audit Report dt. 28 th
			September 2023
			submitted on 30 th
			September 2023 to
			BSEASL.
			<u>Click to view</u>
4	FY 2023-2024	Conducted	Audit Report dt. 28th
			September 2024
			submitted on 30 th
			September 2024 to
			BSEASL.
			Click to view

References:

- 1. Regulation 19(3) of the SEBI (Investment Advisers) Regulation, 2013
- 2. SEBI circular no. SEBI/HO/IMD/DF1/CIR/P/2020/182 dated September 23, 2020
- 3. BASL circular no. 20220519-1 dated May 19, 2022