JITENDRA PS SOLANKI ADVISORY

ANNUAL COMPLIANCE STATUS

AS PRESCRIBED BY SECURITIES AND EXCHANGE BOARD OF INDIA (SEBI), AND BSE ADMINISTRATION AND SUPERVISION LIMITED (BASL)

Disclosure with respect to compliance with Annual compliance audit requirement under Regulation 19(3) of Securities and Exchange Board of India (Investment Advisers) Regulations, 2013 for last and current financial year are as under:

Sr. No.	Financial Year	Compliance Audit	Status Remarks, if any
1	FY 2020-2021	Conducted	Audit Report dt. 29th November 2021 submitted on 2 nd February 2022 to SEBI & BSEASL.
2	FY 2021-2022	Conducted	Audit Report dt. 28th September 2022 submitted on 30 th September 2022 to BSEASL.
3	FY 2022-2023	Conducted	Audit Report dt. 28 th September 2023 submitted on 30 th September 2023 to BSEASL.
4	FY 2023-2024	Conducted	Audit Report dt. 28th September 2024 submitted on 30 th September 2024 to BSEASL.
4	FY 2024-2025	Conducted	Audit Report dt. 23 rd September 2025 submitted on 30 th September 2025 to BSE.

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References:

- 1. Regulation 19(3) of the SEBI (Investment Advisers) Regulation, 2013
- 2. SEBI circular no. SEBI/HO/IMD/DF1/CIR/P/2020/182 dated September 23, 2020
- 3. BASL circular no. 20220519-1 dated May 19, 2022

Jitendra PS Solanki

Investment Adviser

SEBI Reg. NO: INA100000184

BSE Enlistment No: 1292

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